## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE CUSTOMER NO. 22,852 ATTORNEY'S DOCKET NUMBER 09498.0017 (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 U.S. APPLICATION NO. (If known, see 37 CFR 1.5) INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED INTERNATIONAL APPLICATION NO. 5 MAY 2003 PCT/EP2004/004776 5 MAY 2004 TITLE OF INVENTION GLUTAMATE RECEPTOR ANTAGONISTS AS NEUROPROTECTIVES APPLICANT(S) FOR DO/EO/US Wolfgang SÖHNGEN Applicant(s) herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a filing under 35 U.S.C 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 2. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items 3. (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). 4. A copy of the International Application PCT/EP2004/004776 as filed (35 U.S.C. 371 (c)(2)). 5. is attached hereto has been communicated by the International Bureau. h is not required, as the application was filed with the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). 6. is attached hereto (29 pages, including 5 sheets of drawings (Figures 1-5)). has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)). are attached hereto have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. C. have not been made and will not be made. ď An English language translation of the amendments to the claims under PCT Article 19 (11 claims, 2 pages) (35 U.S.C. 371 (c)(3)). An eath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT 10. Article 36 (35 U.S.C. 371 (c)(5)). Items 11 to 20 below concern document(s) or information included: 11. Information Disclosure Statement under 37 CFR 1.97 and 1.98 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. A preliminary amendment 14. An Application Data Sheet under 37 CFR 1.76. 15. A Substitute specification. 16. A power of attorney and/or change of address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825. 18. A second copy of the published international Application under 35 U.S.C. 154 (d)(4). 19. A second copy of the English language translation of the international application 35 U.S.C. 154 (d)(4) 20. Other items or information: Response to Notification of Defective Response and Amendment to Specification (2 pages) $\boxtimes$ a. Comments Regarding Submission in Accordance with 37 CFR §§ 1.821-1.95 previously filed on October 16, 2006 (1 page) Ø h. Statement to Support Filing and Submission in Accordance with 37 CFR §§ 1.821-1.95 previously filed on October 16, 2006 (1 page) X Ċ. Paper Copy of Sequence Listing previously filed on October 16, 2006 (3 pages) $\boxtimes$ d. Computer Readable Form of Sequence Listing previously filed on October 16, 2006 $\boxtimes$

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)		INTERNATIONAL APPLICATION NO. PCT/EP2004/004776			ATTORNEY'S DOCKET NUMBER 09498.0017	
The following fees have been submitted:					CALCULATIONS	PTO Use Only
21. BASIC NATIONAL FEE (37 CFR 1.492(a)):					\$	
22. Examination fee (37CFR 1.492(c))						
If the Written opinion prepared by ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)					\$	
23. [] Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the International preliminary examination report prepared by					\$	
IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)						
International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB						
TOTAL of 21, 22 and 23 =					\$	
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)).  The fee is \$250 for each additional 50 sheets of paper or fraction thereof.						
Total Sheets	Extra Sheets	Number of each additional 50 or fraction Rate				
~ 100 ≃	/50=	thereof (round up to a whole	e number)	x \$250.00	\$	
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).						Ò
CLAIMS NUMBER FILED NUMBER EXTRA RATE						
Total Claims	15	none over 20	x \$50.00		\$	
Independent Claims	3 none over 3 x \$200.00		00	\$		
MULTIPLE DEPENDENT CLAIM(S) (if applicable) +\$360.00					\$	
TOTAL OF ABOVE CALCULATIONS ≃						
Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.					\$	
SUBTOTAL =						
Processing fee of \$130,00 for furnishing the English translation later than 30 months from the earliest priority date (37 CFR 1.492(i)).					\$	
TOTAL NATIONAL FEE =					\$	
Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property.					\$	
TOTAL FEES ENCLOSED =						
					Amount to be refunded:	\$
					charged:	\$
a. A check in the amount of \$ to cover the above fees is enclosed.						
b. Please charge my Deposit Account No in the amount of \$ to cover the above fees.  A duplicate copy of this sheet is enclosed.						
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 06-0916. A duplicate copy of this sheet is enclosed.						
d. Fees are to be charged on this form. Provide	to a credit card. WARNING credit card information and a	: Information on this form may uthorization on PTO-2038.	become public. Cr	edit card info	mation should not	be included
NOTE: Where an appropriate t to restore the Internat	ime limit under 37 CFR 1.4 ional Application to pendin	95 has not been met, a petit g status.	ion to revive (37 CF	FR 1.137(a) or	(b)) must be filed a	ind granted
SEND ALL CORRESPONDENCE TO:						
Finnegan, Henderson, Farabow, 901 New York Avenue, N.W.	Garrett & Dunner, L.tP.	SIGNATU	SIGNATURE			
Washington, DC 20001-4413			Carlos M. Tellez, Reg. No. 48,638			·
NAME/REGISTRATION NUMBER DATED: September 6, 2007					R	